	3XEOLVKLQJ 7HFKQRORR
5HDVRQ IRU WKH SQORHWDLVHFWLWFLNRQOVKH DSSURSULDWH ER[R	
$Q DFTXLVLWLRQ RU GLVSRVDO RI YRWLQJ ULJKWV	
$Q DFTXLVLWLRQTXDOOGLLVISRJVDLQORQFZLKDLFFKLPDWUKHPXHQWVLQ V DFTXLVLWLRQ RI VKHDUHWRDZKLUHFEXGYRLWDOLWQWDUFKJKHGV DUH	
$Q DFTXLVLWLRQ QRVWUGGLXPSHRQWDOOZRUWHKFNORPWLFWR $Q HYHQW QRWLILFDWLRQ REOLJ	

	/HJDO *HQHUDO *URXS 3OF
	/HJDO *HQHUDO ,QYHVWPHQW 0 /*,0
	/HJDO *HQHUDO *URXS 3OF
)XOO QDPH RI VKDUHKROGHU V LI GLIIHUHQW IURP	1 $
'DWH RI WKHWUDLRUQDDQQG GDWH RQ ZKLFK WKH WKUHVKROG LV FURVVHG RU UHDFKHG	-XQH
'DWH RQ ZKLFK LVVXHU QRWLILHG	-XQH
7KUHVKROG V WKDW LV DUHHFDURVVHGHRUDO *URXS 3OF *U UHDFKHG	/*,0 %HORZ / * %HORZ

VKDUHV

SUHYLRXV 5HVXOWLQJ VLWXDWLRQ IURP OLJLELUWU WKDQ

HU	1XPEHU RI YRWLQJ	RI YRWLQJ UL
	1XPEHU ULJKWV	
LQJ RI VKDUHV	LUHFW	,QGLUHFW	'LUHFW
WV			
	%HORZ	%HORZ %HORZ %HORZ	%HORZ

O ,QVWUXPHQWV

QU WKDQVDFWLRQ

RQFLVH	1XPEHU RI YRWLQJ ULJKWV WKDW PD\EH YRWLQJ
RQYHUVLRQ 3HULRG	DFTXLUHG LI WKH ULJKWV LQVWUXPHQW LV H[HUFLVHG FRQYHUWHG

DUWVHZRLWKPVF HLGHFWLRQDQRQ4XDOLJQVWU

QU WKDQVDFWLRQ

UDW LRQFLVH	1XPEHU RI YRWLQJ IRU YRWLQJ UL
LQVWUXPHQW	SULFH	GDWH	&RQYHUVLRQ LQVWUXPHQW UHIHUV WR
		SHULRG	

&KDLQ RI FRQWUROOJVH G VKXURQGXHJKVWZDKVLE JKVWKH DY RO VLRQU WKH
ILQDQFLDO LQVWUHXFPHLQYVHVO \DKUHHOGII LI DSSOLFDEOH

/HJDO *HQHUDO *URXSSQ3OF GLUHFW *URXSRWDO%3HORVRLZWLRQ

/HJDO *HQHUDO ,QYHVWPHQW 0DQDJHPHQ'WUHLHRWOGDLOOQJV,QGLUPLWHFHG /*,0+
%HORZ 7RWDO 3RVLWLRQ

/HJDO *HQHUDO ,QYHVWPHQW 0DQDJHPHQ%HWO/RRPLWHGRWWDOGLUHFW /*,0
3RVLWLRQ

                 /HJDO *HQHUDO *URXS 3OF 'LUHFW / * 30%HORZ /*$6 /*3/

/HJDO *HQHUDO ,QYHVWPHQW 0DQDJHPHQW/HJDOOGLHQQYHUVLDROLWHQVWXU'DPRLFH+GROGLUQW /
/*,0+'

/HJDO *HQHUDO $VVRXQVDODQFHDJHHQGHQVLW /LPLWHGDO30&HQHUDO $VVXUDQFH 6RFLHW\ /LPL

                 /HJDO *HQHUDO 3HQVLRQV /LPLWHG 'LUH

3UR[\ 9RWLQJ

1DPH RI WKH SUR[\ KROGHU   1 $ À ÀïXG6À P